

# Converse bounds for private communication over quantum channels

Mario Berta

joint work with Mark M. Wilde and Marco Tomamichel, arXiv:1602.08898

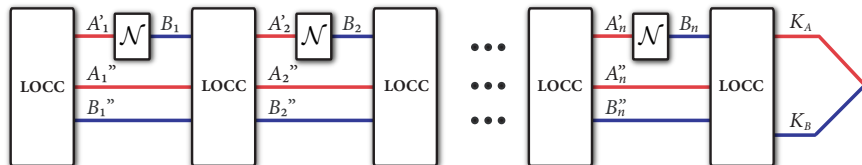
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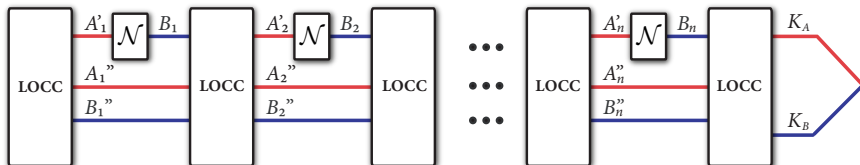
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- Non-asymptotic private capacity:** maximum rate of  $\varepsilon$ -close secret key achievable using the channel  $n$  times with two-way classical communication (LOCC) assistance

$$\hat{P}_{\mathcal{N}}^{\leftrightarrow}(n, \varepsilon) := \sup \{ P : (n, P, \varepsilon) \text{ is achievable for } \mathcal{N} \text{ using LOCC} \}. \quad (1)$$

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the tightest known upper bound on  $\hat{P}_{\mathcal{N}}^{\leftrightarrow}(n, \varepsilon)$

for many channels of practical interest. Interesting special case: single-mode phase-insensitive bosonic Gaussian channels.

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- Technical level: **quantum Shannon theory** with general  $n \geq 1$  and  $\varepsilon \geq 0$ .

- 1 Main Results (Examples)
- 2 Proof Idea: Meta Converse
- 3 Conclusion



# Main Result: Gaussian Channels I

- Converse bounds for single-mode phase-insensitive bosonic Gaussian channels, most importantly the **photon loss channel**

$$\mathcal{L}_\eta : \hat{b} = \sqrt{\eta}\hat{a} + \sqrt{1-\eta}\hat{e} \quad (2)$$

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- Drawback: an asymptotic statement, and thus says **little for practical protocols** (called a weak converse bound).

# Main Result: Gaussian Channels II

- We show the **non-asymptotic converse bound**

$$\hat{P}_{\mathcal{L}_\eta}^{\leftrightarrow}(n, \varepsilon) \leq \log \left( \frac{1}{1 - \eta} \right) + \frac{C(\varepsilon)}{n}, \quad (4)$$

where  $C(\varepsilon) := \log 6 + 2 \log \left( \frac{1+\varepsilon}{1-\varepsilon} \right)$  (other choices possible).

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- Other variations of this bound are possible if  $\eta$  is not the same for each channel use, if  $\eta$  is chosen adversarially, etc.
- We give similar bounds for the quantum-limited amplifier channel (tight), thermalizing channels, amplifier channels, and additive noise channels.

# Main Result: Dephasing Channels I

- Previous asymptotic result for the **qubit dephasing channel**

$\mathcal{Z}_\gamma : \rho \mapsto (1 - \gamma) \rho + \gamma Z \rho Z$  with  $\gamma \in (0, 1)$  is [Bennett *et al.* 1996, Pirandola *et al.* 2015]

$$P^{\leftrightarrow}(\mathcal{Z}_\gamma) := \lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} \hat{P}_{\mathcal{Z}_\gamma}^{\leftrightarrow}(n, \varepsilon) = 1 - h(\gamma), \quad (5)$$

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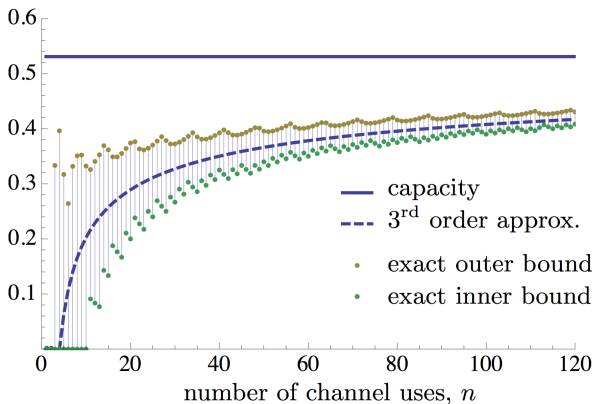
- By combining with [Tomamichel *et al.* 2016] we show the expansion

$$\hat{P}_{\mathcal{Z}_\gamma}^{\leftrightarrow}(n, \varepsilon) = 1 - h(\gamma) + \sqrt{\frac{v(\gamma)}{n}} \Phi^{-1}(\varepsilon) + \frac{\log n}{2n} + O\left(\frac{1}{n}\right), \quad (6)$$

with  $\Phi$  the cumulative standard Gaussian distribution and the binary entropy variance  $v(\gamma) := \gamma(\log \gamma + h(\gamma))^2 + (1 - \gamma)(\log(1 - \gamma) + h(\gamma))^2$ .

# Main Result: Dephasing Channels II

- For the dephasing parameter  $\gamma = 0.1$  we get (figure from [Tomamichel *et al.* 2016]):



(c) Comparison of strict bounds with third order approximation for  $\varepsilon = 5\%$ .

## Main Result: Erasure Channels

- For the **qubit erasure channel**  $\mathcal{E}_p : \rho \mapsto (1-p)\rho + p|e\rangle\langle e|$  with  $p \in (0, 1)$  we show by combining with [Tomamichel *et al.* 2016] the expansion

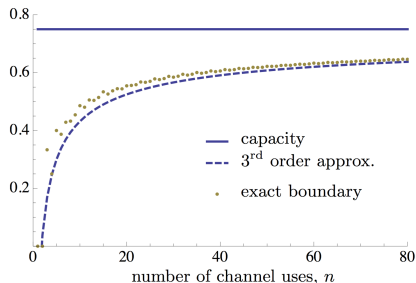
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- For the erasure parameter  $p = 0.25$  we get for  $\varepsilon = 1\%$  (figure from [Tomamichel *et al.* 2016]):



(b) Comparison of exact bounds with third order approximation.

# Proof Idea: Meta Converse I

- **Meta converse approach** from classical channel coding [Polyanskiy *et al.* 2010], uses connection to **hypothesis testing**. In the quantum regime, e.g., for classical communication [Tomamichel & Tan 2015] or quantum communication [Tomamichel *et al.* 2014 & 2016]. We extend this approach to **private communication**.

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- Hypothesis testing relative entropy defined for a state  $\rho$ , positive semi-definite operator  $\sigma$ , and  $\varepsilon \in [0, 1]$  as

$$D_H^\varepsilon(\rho||\sigma) := -\log \inf \left\{ \text{Tr}[\Lambda\sigma] : 0 \leq \Lambda \leq I \wedge \text{Tr}[\Lambda\rho] \geq 1 - \varepsilon \right\}. \quad (8)$$

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- The  $\varepsilon$ -relative entropy of entanglement is defined as

$$E_R^\varepsilon(A; B)_\rho := \inf_{\sigma_{AB} \in \mathcal{S}(A:B)} D_H^\varepsilon(\rho_{AB} \| \sigma_{AB}), \quad (9)$$

where  $\mathcal{S}(A : B)$  is the set of separable states (cf. relative entropy of entanglement). **Channel's  $\varepsilon$ -relative entropy of entanglement** is then given as

$$E_R^\varepsilon(\mathcal{N}) := \sup_{|\psi\rangle_{AA'} \in \mathcal{H}_{AA'}} E_R^\varepsilon(A; B)_\rho, \quad (10)$$

where  $\rho_{AB} := \mathcal{N}_{A' \rightarrow B}(\psi_{AA'})$ .

## Proof Idea: Meta Converse II

- Goal is the creation of  $\log K$  **bits of key**, i.e., states  $\gamma_{ABE}$  with

$$(\mathcal{M}_A \otimes \mathcal{M}_B)(\gamma_{ABE}) = \frac{1}{K} \sum_i |i\rangle\langle i|_A \otimes |i\rangle\langle i|_B \otimes \sigma_E \quad (11)$$

for some state  $\sigma_E$  and measurement channels  $\mathcal{M}_A, \mathcal{M}_B$ .



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- In **one-to-one correspondence** with pure states  $\gamma_{AA'BB'E}$  such that [Horodecki *et al.* 2005 & 2009]

$$\gamma_{ABA'B'} = U_{ABA'B'}(\Phi_{AB} \otimes \theta_{A'B'})U_{ABA'B'}^\dagger, \quad (12)$$

where  $\Phi_{AB}$  maximally entangled,  $U_{ABA'B'} = \sum_{i,j} |i\rangle\langle i|_A \otimes |j\rangle\langle j|_B \otimes U_{A'B'}^{ij}$  with each  $U_{A'B'}^{ij}$  a unitary, and  $\theta_{A'B'}$  a state.

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- Work in the latter, bipartite picture.

## Proof Idea: Meta Converse III

- For **separable states**  $\sigma_{AA'BB'}$  (useless for private communication) and a state  $\gamma_{AA'BB'}$  with  $\log K$  bits of key we have [Horodecki *et al.* 2009]

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- The monotonicity of the channel's  $\varepsilon$ -relative entropy of entanglement  $E_R^\varepsilon(\mathcal{N})$  with respect to LOCC together with (13) implies the **meta converse**

$$\hat{P}_{\mathcal{N}}(1, \varepsilon) \leq E_R^\varepsilon(\mathcal{N}) \quad (\text{LOCC pre- and post-processing assistance}). \quad (14)$$

For  $n$  channel uses this gives

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- Finite block-length version of **relative entropy of entanglement** upper bound [Horodecki *et al.* 2005 & 2009].
- The next step is to **evaluate** the meta converse for specific channels of interest.

# Proof Idea: Meta Converse IV

- For **teleportation-simulable channels**  $\mathcal{N}_{A' \rightarrow B}$  **with associated state**  $\omega_{AB}$  [Bennett *et al.* 1996, Pirandola *et al.* 2015] the meta converse holds for **general LOCC assistance** and expands as

$$\hat{P}_{\mathcal{N}}^{\leftrightarrow}(n, \varepsilon) \leq E_R(A; B)_{\omega} + \sqrt{\frac{V_{E_R}^{\varepsilon}(A; B)_{\omega}}{n}} \Phi^{-1}(\varepsilon) + O\left(\frac{\log n}{n}\right), \quad (16)$$

$$\text{where } V_{E_R}^{\varepsilon}(A; B)_{\rho} \equiv \begin{cases} \max_{\sigma_{AB} \in \Pi_S} V(\rho_{AB} \| \sigma_{AB}) & \text{for } \varepsilon < 1/2 \\ \min_{\sigma_{AB} \in \Pi_S} V(\rho_{AB} \| \sigma_{AB}) & \text{for } \varepsilon \geq 1/2 \end{cases} \quad (17)$$

with  $\Pi_S \subseteq \mathcal{S}(A : B)$  the set of separable states achieving minimum in the relative entropy of entanglement

$$E_R(A; B)_{\rho} := \inf_{\sigma_{AB} \in \mathcal{S}(A : B)} D(\rho_{AB} \| \sigma_{AB}). \quad (18)$$

Here, we have the cumulative standard Gaussian distribution  $\Phi$ , the relative entropy  $D(\rho \| \sigma) := \text{Tr} [\rho (\log \rho - \log \sigma)]$ , and the relative entropy variance  $V(\rho \| \sigma) := \text{Tr} [\rho (\log \rho - \log \sigma - D(\rho \| \sigma))^2]$ .

# Conclusion

- Our meta converse  $\hat{P}_{\mathcal{N}}(1, \varepsilon) \leq E_R^\varepsilon(\mathcal{N})$  gives bounds for the private transmission capabilities of quantum channels. These give the **fundamental limitations of QKD** and thus can be used as **benchmarks for quantum repeaters**.



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- Improve our bound for the **photon loss channel**

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- Tight **finite-energy** bounds for single-mode phase-insensitive bosonic Gaussian channels?
- Understand more channels, for example such with  $P^{\leftrightarrow} > 0$  but zero quantum capacity [Horodecki *et al.* 2008]?

## Extra: Gaussian Formulas

- For **Gaussian channels** we need formulas for the relative entropy  $D(\rho\|\sigma)$  and the relative entropy variance  $V(\rho\|\sigma)$ .
- From [Chen 2005, Pirandola *et al.* 2015] and [Wilde *et al.* 2016], respectively: writing zero-mean Gaussian states in exponential form as

$$\rho = Z_\rho^{-1/2} \exp \left\{ -\frac{1}{2} \hat{x}^T G_\rho \hat{x} \right\} \quad \text{with} \quad (20)$$

$$Z_\rho := \det(V^\rho + i\Omega/2), \quad G_\rho := 2i\Omega \operatorname{arccoth}(2V^\rho i\Omega), \quad (21)$$

and  $V^\rho$  the Wigner function covariance matrix for  $\rho$ , we have

$$D(\rho\|\sigma) = \frac{1}{2} \left( \log \left( \frac{Z_\sigma}{Z_\rho} \right) - \operatorname{Tr} [\Delta V^\rho] \right) \quad (22)$$

$$V(\rho\|\sigma) = \frac{1}{2} \operatorname{Tr} \{ \Delta V^\rho \Delta V^\rho \} + \frac{1}{8} \operatorname{Tr} \{ \Delta \Omega \Delta \Omega \}, \quad (23)$$

where  $\Delta := G_\rho - G_\sigma$ .